

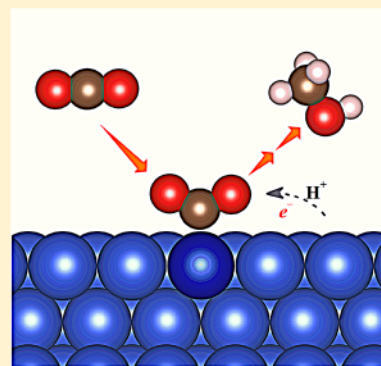
# Cu-Based Single-Atom Catalysts Boost Electroreduction of CO<sub>2</sub> to CH<sub>3</sub>OH: First-Principles Predictions

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## Supporting Information

**ABSTRACT:** Electrochemical CO<sub>2</sub> reduction reaction (CO<sub>2</sub>RR) to fuels represents one of the most attractive approaches to mitigate our pressing energy and environmental threats. Cu is the best known metal catalyst that can produce an appreciable amount of hydrocarbons from CO<sub>2</sub>, but it suffers from a high overpotential and poor selectivity. In this work, by means of first-principles calculations, we predict that Cu-based single-atom alloys (SAAs) could be exceptional electrocatalysts for CO<sub>2</sub>RR. In particular, we predict that Co@Cu SAA could be a promising catalyst on which methanol can be produced at a low overpotential and high selectivity. The isolated Co atoms lead to a narrowed d-band and an upshifted d-band center which can stabilize chemisorbed CO<sub>2</sub> on a surface, significantly lowering the reaction barrier. The narrowed Co d-band increases the bonding to a key intermediate, which in turn eliminates the need for its migration and enables a selective and efficient production of CH<sub>3</sub>OH through the pathway of CO<sub>2</sub> → COOH\* → CO\* → COH\* → CHOH\* → CH<sub>2</sub>OH\* → CH<sub>3</sub>OH.



## 1. INTRODUCTION

The electroreduction of CO<sub>2</sub> into chemical feedstocks with renewable energy sources, such as solar, hydro, and wind, represents a promising route to mitigate our dependence on fossil fuels and to remediate environmental threats.<sup>1–3</sup> Elemental metals, including Cu, Au, Ag, Pt, and Ni, have been explored as electrocatalysts for CO<sub>2</sub>RR.<sup>4–9</sup> However, they all suffer from problems such as sluggish kinetics, low efficiency, and poor selectivity. For example, although Cu can catalyze the formation of hydrocarbons, including methane (CH<sub>4</sub>) and ethylene (C<sub>2</sub>H<sub>4</sub>),<sup>4</sup> it does so with a high overpotential (~1 V) and a large number of byproducts.<sup>5–7</sup> To overcome these problems, innovative strategies have been proposed. First strategy is to tap into metallic nanocatalysts,<sup>10</sup> such as Cu nanoparticles (NPs) and nanowires, which are shown to exhibit much enhanced catalytic activities for CO<sub>2</sub>RR.<sup>11–15</sup> Design of metal alloys, such as near-surface alloys (NSA) and core/shell NPs, represents another strategy to improve the efficiency and selectivity of CO<sub>2</sub>RR.<sup>16–21</sup> For instance, Cu–Au bimetallic NPs have demonstrated enhanced activities for CO production,<sup>17</sup> while Cu–Ag NSAs are revealed to be selective for multicarbon carbonyl-containing products.<sup>18</sup> More recently, single-atom catalysts (SACs) with metal atoms dispersed onto porous carbon materials or metal oxides are discovered to be highly active for CO<sub>2</sub>RR;<sup>22–25</sup> they are shown to display maximum atomic efficiencies and excellent selectivity thanks to their unique electronic properties.<sup>26</sup>

As a special class of SACs, single-atom alloys (SAAs) consist of single solute atoms of an active metal dispersed onto the surface of a noble metal matrix.<sup>27–34</sup> Owing to the metallic bonds between the solute and the host, the active solute atoms in

SAAs are expected to be more stable against phase separations compared to other SACs.<sup>35</sup> Some unique and desirable features have emerged in SAAs, which can be attributed to low concentrations of the solute atoms (1–10%).<sup>29,36–39</sup> Among them is the synergistic catalysis rendered by the cooperation between the solute and the host.<sup>40</sup> For example, in Pd–Cu and Pt–Cu SAAs, it was found that low-temperature H<sub>2</sub> dissociation could occur facilely on the single-atom sites (Pd and Pt), followed by a spillover of atomic H to the nearby Cu host, where selective hydrogenation takes place.<sup>27,28</sup> Another interesting feature has to do with electronic structures of SAAs, which deviate significantly from a linear interpolation of the constituent electronic structures.<sup>41</sup> A recent study has shown that the d-band of the solute Cu atoms in the Ag host becomes atomically narrow, leading to  $\pi$  bonding to the adsorbed oxygen. As a result, the overall binding strength is increased compared to that on the bulk Cu surface.<sup>42</sup>

It is known that as the initial step of CO<sub>2</sub>RR, the capturing of CO<sub>2</sub> is of critical importance to the subsequent reactions. However, CO<sub>2</sub> is highly inert and its fixation is often thermodynamically unfavorable under ambient conditions.<sup>43</sup> For example, CO<sub>2</sub> is found to interact weakly with the Cu surface by forming a linear and physisorbed configuration (l-CO<sub>2</sub>),<sup>44</sup> which results in a high energy barrier for the initial hydrogenation.<sup>45,46</sup> On the other hand, bent and chemisorbed configurations (b-CO<sub>2</sub>) can be formed on other metal surfaces.<sup>47,48</sup> In particular, a bent b-CO<sub>2</sub> configuration has

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been identified as a crucial transition state (TS) in the electroreduction of  $\text{I-CO}_2$  on the  $\text{Cu}(111)$  surface.<sup>45,46</sup> Although  $\text{b-CO}_2$  configurations are energetically less stable than  $\text{l-CO}_2$  on  $\text{Cu}(111)$ ,  $\text{b-CO}_2$  could actually bond more strongly to the surface if formed. Hence, we hypothesize that if  $\text{b-CO}_2$  could be stabilized on the Cu surface, it may lower the activation energies for the initial hydrogenation steps. Because SAAs can modulate the adsorption strength,<sup>42</sup> we aim to uncover Cu-based SAAs on which  $\text{b-CO}_2$  becomes stable, which in turn may lead to more efficient and selective  $\text{CO}_2\text{RR}$ .

According to the d-band theory, a change in the bandwidth shifts the d-band center, which in turn affects the coupling of molecular orbitals to the d states.<sup>49</sup> Therefore, an atomically narrow d-band in the SAA may result in a drastic shift of the d-band center, which leads to a significant change to the adsorption energy compared to traditional alloys, and provides an effective means to stabilize  $\text{b-CO}_2$ . Motivated by a recent study which computationally screened SAAs for enhanced catalytic activities,<sup>50</sup> we aim to discover Cu-based SAAs as superior catalysts for  $\text{CO}_2\text{RR}$ . More specifically, we search for SAAs that can stabilize  $\text{b-CO}_2$  via first-principles density functional theory (DFT) calculations. It is important to note that the stabilization of  $\text{b-CO}_2$  only ensures facile reaction of the initial hydrogenation step in  $\text{CO}_2\text{RR}$  (which contributes to the formation of CO and formic acid). Thus, we have to subject the screened SAAs to subsequent reaction steps, particularly the overpotential-determining hydrogenation step. To this end, we have examined a dozen of reaction intermediates in determining the lowest overpotential reaction pathway that reduces  $\text{b-CO}_2$  to  $\text{CH}_3\text{OH}$ . A particular attention is paid to  $\text{CO}_2$  because the initial hydrogenation step is relevant to all  $\text{CO}_2\text{RR}$  catalysts, regardless of the final products. Because the hydrogen evolution reaction (HER) is an undesirable side reaction that competes with  $\text{CO}_2\text{RR}$ , we also examine HER on the proposed catalysts. By means of extensive DFT calculations, we have identified a promising SAA catalyst,  $\text{Co@Cu}$ , which can reduce  $\text{b-CO}_2$  to liquid fuel  $\text{CH}_3\text{OH}$  with a low overpotential and high selectivity. We hope that this work could inspire experimental effort to corroborate our findings and open doors to further study of SAAs as electrocatalysts.

## 2. COMPUTATIONAL DETAILS

**2.1. Electronic Structure Calculations.**  $\text{Cu}(111)$ -based SAAs were modeled by a four atomic layer and a  $3 \times 3$  in-plane supercell. One Cu surface atom was replaced by a metal dopant atom M (M = Ti, V, Cr, Mn, Fe, Co, Ni, Zn, Zr, Nb, Mo, Tc, Ru, Rh, Pd, Ag, Cd, Hf, Ta, W, Re, Os, Ir, Pt, Au, Hg), which is considered as the single solute atom in SAAs. The surface solute concentration is  $\sim 10\%$ , which is similar to the experimental concentrations of SAAs at a higher end.<sup>29</sup> The adjacent computational slabs were separated by a  $15 \text{ \AA}$  vacuum in the normal direction of the surface. The bottom two Cu layers were fixed while the top two layers were allowed to fully relax. DFT calculations were performed using the Vienna ab initio simulation package.<sup>51</sup> The exchange–correlation interaction was described by Perdew–Burke–Ernzerhof (PBE) functional.<sup>52</sup> The PBE/DFT-D3 scheme<sup>53</sup> with explicit dispersion corrections was also used for weak adsorbates. Heyd–Scuseria–Ernzerhof hybrid functional<sup>54–56</sup> was employed in the electronic density of states calculations. The plane-wave energy cutoff was  $400 \text{ eV}$  and the Brillouin-zone was sampled with a  $3 \times 3 \times 1$  k-mesh according to the Monkhorst–Pack scheme.<sup>57</sup> All atomic structures were optimized until the forces were less than  $0.03 \text{ eV}$

$\text{\AA}^{-1}$ . Spin-polarized calculations were performed for SAAs in the presence of magnetic solutes, such as Cr, Mn, Fe, Co, and Ni. All energy barrier calculations were performed using the climbing-image-nudged elastic band method.<sup>58</sup> The TSs were determined with 6 to 10 images and confirmed with the frequency analysis.

**2.2. Free Energy Calculations.** The computational hydrogen electrode (CHE) model was used to calculate the reaction free energy for the elementary steps of  $\text{CO}_2\text{RR}$ .<sup>59</sup> In the CHE model, the chemical potential of a proton–electron pair is defined in equilibrium with half of that of gaseous  $\text{H}_2$  at  $0 \text{ V}$ ,  $10^{1325} \text{ Pa}$ , any pH values, and temperatures on a relative hydrogen electrode (RHE) scale. The chemical potential was then shifted by  $-eU$  ( $e$  is the elementary positive charge) when an external potential  $U$  was applied. The potential-dependent reaction free energy for an elementary step  $\text{A}^* \rightarrow \text{AH}^*$  ( $*$  indicates adsorbed species) is thus defined as follows

$$\Delta G = G(\text{AH}^*) - G(\text{A}^*) - [1/2G(\text{H}_2) - eU] \quad (1)$$

Zero-point energies (ZPEs), heat capacities, and entropies were calculated based on the molecular vibration analysis<sup>60</sup> and used to convert the electronic energies into free energies at  $298 \text{ K}$ .

**2.3. Activation Barrier Calculations.** We followed the work of Nie et al.<sup>45,46</sup> in estimating the activation energy barriers. More specifically, the activation barrier for an elementary electrochemical reaction ( $\text{A}^* + \text{H}^+ + e^- \rightarrow \text{AH}^*$ ) can be derived from the analogous (DFT-accessible) hydrogenation reaction ( $\text{A}^* + \text{H}^* \rightarrow \text{AH}^*$ ).<sup>45,46</sup> This is rationalized by the assumption that the former is an inner-sphere reaction and the TS is reached when the proton arrives at the adsorbed species,  $\text{A}^*$ . The electron transfer is considered ultrafast once the proton attains the TS. However, this assumption does not imply that surface  $\text{H}^*$  species must be formed as a precursor. The activation barrier ( $E_{\text{act}}$ ) as a function of the electrode potential  $U$  is thus calculated as

$$E_{\text{act}}(U) = E_{\text{act}}^0(U^0) + \beta'(U - U^0) \quad (2)$$

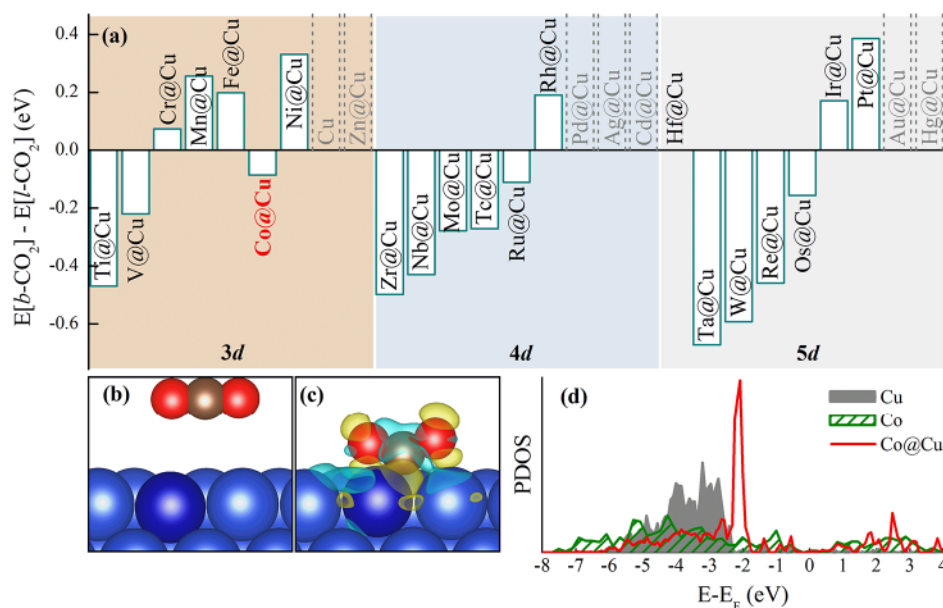
where  $E_{\text{act}}^0$  is the barrier computed from DFT and the ZPE correction.  $U_0$  is the equilibrium potential for the reductive adsorption of the proton, at which the chemical potential of the adsorbed  $\text{H}^*$  is equal to that of a proton–electron pair ( $\text{H}^+ + e^-$ ).  $\beta'$  is an effective symmetry factor defined as

$$\beta' = \beta + (\mu_{\text{TS}} - \mu_{\text{reactant}})/d \quad (3)$$

$\beta$  is taken as 0.5 for all reaction steps. The second and correction term includes the variation in the surface dipole moments between the reactant and TS.  $d$  is the distance for the counter charge to approach the electrode surface in the Helmholtz model and is assumed to be  $3 \text{ \AA}$ . More details about the activation barrier calculations can be found elsewhere.<sup>45,46</sup> The maximum barrier over which a reaction is presumed to occur at room temperature is set as  $0.4 \text{ eV}$ .<sup>45,46,61</sup>

**2.4. Water-Assisted Reaction Models.** In both free energy and activation barrier calculations, we have considered the presence of water molecules in assisting the hydrogenation steps. More specifically, water can assist the reactions in two different manners, which are referred to as the water-solvated (WS) model and H-shuttling (HS) model in literature.<sup>45,46</sup> To hydrogenate an atom that is directly bonded to the surface, the WS model is applied. In this case, a surface proton is transferred directly to the atom and is assisted by the hydrogen bonds between the water molecule and the adsorbate. Note that





**Figure 1.** (a) Energy difference between b-CO<sub>2</sub> and l-CO<sub>2</sub> adsorption on the considered SAAs. Dashed columns indicate the SAA surfaces on which b-CO<sub>2</sub> transfers to l-CO<sub>2</sub> spontaneously upon the geometric optimization. The adsorption structure and differential charge density isosurfaces for (b) l-CO<sub>2</sub> and (c) b-CO<sub>2</sub> on Co@Cu. Cyan and yellow isosurfaces correspond to the charge density contour of  $-0.01$  and  $+0.01$  e Å<sup>-3</sup>. Blue, dark blue, gray, and red spheres represent Cu, Co, C, and O atoms, respectively. Note that the differential charge density is too small to be visible in (b). (d) The projected density of states (PDOS) of the d-band for the Co atoms on Co@Cu as compared with that of Cu and Co atoms on Cu(111) and Co(111) surfaces, respectively.

the C atom of the adsorbates in CO<sub>2</sub>RR often bonds directly to the surface, thus the WS model is applicable to its hydrogenation in forming the C–H bond. On the other hand, to hydrogenate an atom that is indirectly bonded to the surface (such as the O atom), the HS model is more appropriate. In this case, the surface proton is transferred to the water molecule, which concurrently shuttles another proton to the adsorbate, analogous to the Grotthuss mechanism. In other words, the water molecule assists the reaction by shortening the proton migration distance. The HS model is thus more suitable for the formation of the O–H bond.<sup>45,46</sup> In this work, only one model is assumed to operate in each reaction step, and specified in the following discussion. The WS and HS models have been used to estimate the activation barriers and kinetics of CO<sub>2</sub>RR on Cu surfaces, which are consistent with experimental results.<sup>45,46,61</sup>

### 3. RESULTS AND DISCUSSION

**3.1. l-CO<sub>2</sub> Versus b-CO<sub>2</sub>.** It is known that CO<sub>2</sub> binds weakly to the Cu(111) surface via the linear (l-CO<sub>2</sub>) configuration and the bent b-CO<sub>2</sub> configurations are not stable on the Cu(111) surface.<sup>44</sup> In fact, all bent CO<sub>2</sub> structures with various bending angles relaxed to the l-CO<sub>2</sub> structure in our DFT calculations. We next examine whether b-CO<sub>2</sub> could be stabilized on Cu-based SAAs by incorporating 26 metal solutes to the Cu(111) surface. Figure 1a shows the energy difference between b-CO<sub>2</sub> and l-CO<sub>2</sub> on the 26 SAAs. We find that b-CO<sub>2</sub> can be stabilized on SAAs with metal solutes, such as Ti, V, Co, Zr, Nb, Mo, Tc, Ru, Ta, W, Re, and Os, for which the energy difference is negative. In Figure 1b,c, we display the differential charge density of l-CO<sub>2</sub> and b-CO<sub>2</sub> on the Co@Cu SAA surface, respectively. The positive (negative) value of the differential charge density indicates charge accumulation (deficit) when CO<sub>2</sub> is adsorbed onto the surface. Compared to l-CO<sub>2</sub>, b-CO<sub>2</sub> is closer to the surface, and both C and O atoms in b-CO<sub>2</sub> are bonded to the metal atoms. In contrast, the bonding between l-

CO<sub>2</sub> and the surface is too weak to be visible in Figure 1b. We find that the ranking in the relative stability between l-CO<sub>2</sub> and b-CO<sub>2</sub> follows roughly that in the electronegativity, that is, the less electronegative of the metal solute, the more stable of b-CO<sub>2</sub> on the surface.

Among the SAAs that stabilize b-CO<sub>2</sub>, Co@Cu is the most promising catalyst for CO<sub>2</sub>RR for two reasons. First, the binding of the relevant intermediates (such as CO<sub>2</sub>) on Co@Cu is not overly strong, thus their desorption is facile for following reactions. We hypothesize that strong binding of b-CO<sub>2</sub> would lead to overbinding of the intermediates and the products on the same surface. To test this hypothesis, we take Zr@Cu as an example of the SAAs on which b-CO<sub>2</sub> is most stabilized (Figure 1a). We find that the desorption energy of CH<sub>3</sub>OH on Co@Cu is  $\sim 0.3$  eV, much smaller than that ( $\sim 1$  eV) on Zr@Cu. Moreover, the activation barrier for the hydrogenation of b-CO<sub>2</sub> to COOH\* (discussed below) on Zr@Cu is 0.80 eV, more than twice that (0.36 eV) on Co@Cu. Second, it has been demonstrated experimentally that single Co atoms can be introduced and stabilized on Cu(100) surfaces.<sup>62,63</sup> Therefore, Co@Cu is likely to be synthesized experimentally. On the other hand, although other SAAs (such as V@Cu, Ru@Cu, and Os@Cu) exhibit similar favorable binding stabilities to b-CO<sub>2</sub> (Figure 1a), it is less clear whether they can be synthesized experimentally.

Recognizing the importance of stability, we have examined the stability of isolated Co atoms on the Cu(111) surface by DFT calculations. As Co atoms could potentially aggregate to form islands on the Cu surface or they could diffuse into the interior of Cu, the following calculations are performed to address the two possibilities. To check the tendency of aggregation, we construct a  $4 \times 4$  in-plane supercell with two Co atoms on the surface, and calculate the migration barrier for the Co atoms forming a dimer along the *a*-axis (from Co1 to Cu2 in Figure S1). We find that the aggregation of Co atoms on the Cu(111) surface is

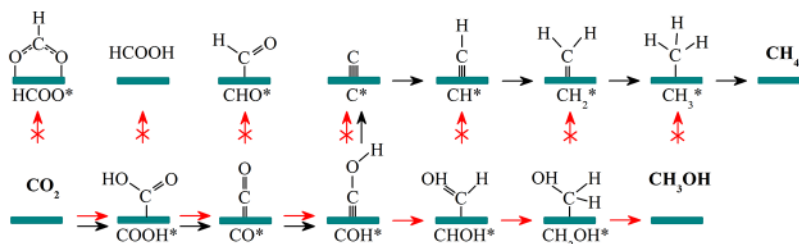


Figure 2. Reaction pathway for  $\text{CO}_2\text{RR}$  to  $\text{CH}_3\text{OH}$  on  $\text{Co@Cu}$  (red arrows) established in this work and to  $\text{CH}_4$  on  $\text{Cu}(111)$  (black arrows) established by Nie et al.<sup>45,46</sup> The branches examined in this work are indicated by red arrows with crosses.  $\text{H}^+ + \text{e}^-$  reactants and  $\text{H}_2\text{O}$  are omitted for clarity.

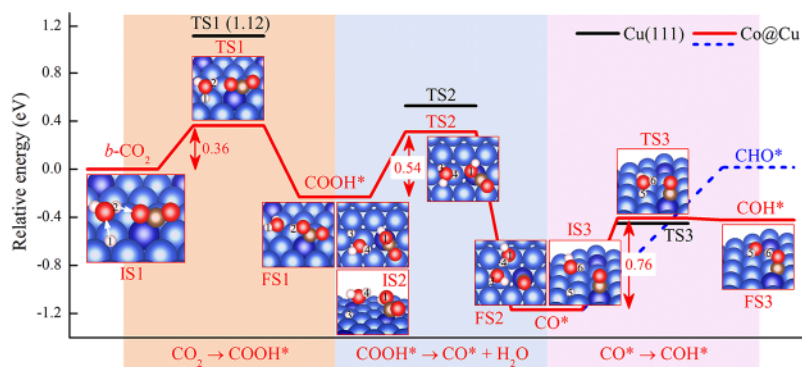


Figure 3. Relative energy diagram for the reduction of  $b\text{-CO}_2$  to  $\text{COH}^*$  on  $\text{Co@Cu}$  under zero voltage. The free energy change between the initial and final state is calculated via eq 1, while the activation barrier (i.e., energy difference between the initial and TSs) is calculated via eq 2. The optimized atomic geometries of the initial states (IS1, IS2, and IS3), TSs (TS1, TS2, and TS3), and final states (FS1, FS2, and FS3) are shown in the insets. Note that two different views are presented for IS2. Blue, dark blue, gray, red, and white spheres represent Cu, Co, C, O, and H atoms, respectively. Key atoms are numbered to facilitate the discussion. The energy barriers for the corresponding TSs on  $\text{Cu}(111)$  (black bars) are taken from previous works.<sup>45,46</sup>

endothermic by 0.15 eV and the migration barrier is as high as 2.05 eV, which is too high to be overcome at normal electrochemical conditions. Note that because of the symmetry of the surface, our conclusion is also valid along the  $b$ -axis and the diagonal  $ab$  axis. To access the possibility of Co diffusion into Cu, we calculate the energy barrier of a Co atom diffusing from the surface to the subsurface (from Co to Cu2 in Figure S2). The diffusion barrier is found to be 1.93 eV, which renders the diffusion highly unlikely. Although the presence of surface Cu vacancies adjacent to Co may facilitate aggregation and diffusion, the Cu vacancy formation energy adjacent to Co is calculated as 0.53 eV, which is very high. Once the vacancy is formed, the energy barrier for aggregation and diffusion is 0.90 and 0.61 eV, respectively, giving rise to a total energy cost of 1.43 and 1.14 eV for each process. Therefore, we conclude that Co segregation to form islands on the  $\text{Cu}(111)$  surface or its diffusion into Cu interior is unlikely to happen, which echoes the experimental observations that single Co atoms can be stabilized on  $\text{Cu}(100)$  surfaces.<sup>62,63</sup> Finally, because the lattice mismatch between Co and Cu is small ( $\sim 2\%$ ), the SAA once formed is likely to be stable against surface reconstructions.

In the remaining part of the paper, we will focus on  $\text{Co@Cu}$  SAA. To further ascertain the stability of  $b\text{-CO}_2$  over  $l\text{-CO}_2$  on  $\text{Co@Cu}$ , we have calculated the free energy difference between the two configurations using the PBE-D3 functional. We find that  $b\text{-CO}_2$  remains more stable than  $l\text{-CO}_2$  on  $\text{Co@Cu}$  with 0.21 eV difference in free energy. Because the presence of water on the surface may impede the adsorption of  $\text{CO}_2$ , we have also calculated the free energy for the following surface configurations by incorporating water molecules onto the surface (one

water molecule in each supercell): (1)  $b\text{-CO}_2$  on the Co site and  $\text{H}_2\text{O}$  on the adjacent Cu site, (2)  $l\text{-CO}_2$  on the Co site and  $\text{H}_2\text{O}$  on the adjacent Cu site, (3)  $\text{H}_2\text{O}$  on the Co site and  $l\text{-CO}_2$  on the adjacent Cu site, and (4)  $\text{H}_2\text{O}$  on the Co site and  $b\text{-CO}_2$  on the adjacent Cu site (Figure S3). We find that the configuration (1) has the lowest free energy, thus it is most stable. The results suggest that  $b\text{-CO}_2$  is more stable than  $l\text{-CO}_2$  on the  $\text{Co@Cu}$  surface even in the presence of water molecules.

Figure 1d shows the PDOS of the Co atom (red) in  $\text{Co@Cu}$  along with the PDOS of a Cu atom (gray) and of a Co atom (green) in the corresponding pure surfaces. The most prominent feature in the PDOS for  $\text{Co@Cu}$  is the appearance of a free atom-like d-band centered at  $-2.1$  eV, in a sharp contrast to the broader d-bands of the pure metals. For transition metals whose d bands are more than half-filled (such as Co), the rigid band model suggests that the band narrowing would shift the d-band center up,<sup>49</sup> and this shift is particularly striking for the free atom-like d-band. As shown in Figure 1d, the Co d-band center in  $\text{Co@Cu}$  is shifted 1.14 eV higher (more positive in energy) relative to the pure Co surface. The well-known d-band model predicts that an upshift of the d-band center yields stronger bonding to the adsorbates,<sup>49</sup> which is clearly evident in Figure 1. The Bader charge analysis indicates that there is significant charge transfer from the metal to  $b\text{-CO}_2$ , forming an activated  $\text{CO}_2^{\delta-}$  radical anion (the charges on  $b\text{-CO}_2$  and  $l\text{-CO}_2$  are calculated as 0.59 e and 0.03 e, respectively).

**3.2. Reaction Pathway on  $\text{Co@Cu}$ .** In the following, we will discuss in detail the reaction pathway from  $b\text{-CO}_2$  to  $\text{CH}_3\text{OH}$  on  $\text{Co@Cu}$ . All plausible pathways, including those identified on Cu, are examined.<sup>6,45,46</sup> In particular, the reduction



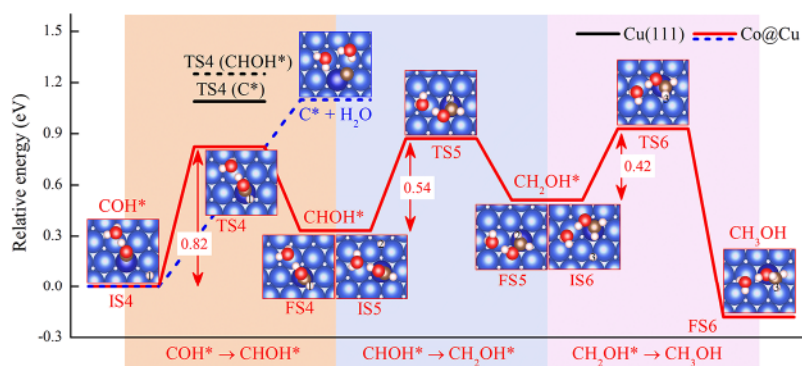


Figure 4. Relative energy diagram for the reduction of  $\text{COH}^*$  to  $\text{CH}_3\text{OH}$  on  $\text{Co@Cu}$  under zero voltage. The free energy change between the initial and final state is calculated via eq 1, while the activation barrier (i.e., energy difference between the initial and TSs) is calculated via eq 2. The optimized atomic geometries of the initial states (IS4, IS5, and IS6), TSs (TS4, TS5, and TS6), and final states (FS4, FS5, and FS6) are shown in the insets. Blue, dark blue, gray, red, and white spheres represent Cu, Co, C, O, and H atoms, respectively. The energy barriers for the corresponding TSs on  $\text{Cu}(111)$  are taken from previous works.<sup>45,46</sup>

of  $\text{CO}_2$  into formic acid ( $\text{HCOOH}$ ), carbon monoxide ( $\text{CO}$ ), and methane ( $\text{CH}_4$ ) is considered. As shown in Figure 2, the established pathway:  $\text{CO}_2 \rightarrow \text{COOH}^* \rightarrow \text{CO}^* \rightarrow \text{COH}^* \rightarrow \text{CHOH}^* \rightarrow \text{CH}_2\text{OH}^* \rightarrow \text{CH}_3\text{OH}$  coincides partially with that for the formation of  $\text{CH}_4$  on  $\text{Cu}(111)$ <sup>45,46</sup> but deviates from it at the reduction step of  $\text{COH}^*$ .  $\text{COH}^*$  is reduced to  $\text{CHOH}^*$  on  $\text{Co@Cu}$  but to  $\text{C}^*$  on  $\text{Cu}$ . The origin of this deviation is discussed below. Note that along the pathway, the first three steps ( $\text{CO}_2 \rightarrow \text{COOH}^* \rightarrow \text{CO}^* \rightarrow \text{COH}^*$ ) proceed via the HS model, while the remaining steps involve the WS model. Because each Co atom can accommodate only one C-based intermediate, and the Co atoms are well separated in SAAs, the C–C coupling between the intermediates is too weak to form  $\text{C}_2$  hydrocarbons,<sup>40</sup> which underlies the higher selectivity of SAAs.

**3.3.  $\text{CO}_2 \rightarrow \text{COOH}^*$ .** It is well known that the proton transfer to weakly bound  $\text{l-CO}_2$  on  $\text{Cu}(111)$  is energetically costly, and the activation barrier for its hydrogenation to  $\text{COOH}^*$  is between 0.73 and 1.65 eV.<sup>45,46</sup> Remarkably, on  $\text{Co@Cu}$ , the activation barrier of  $\text{b-CO}_2$  to  $\text{COOH}^*$  is reduced to 0.36 eV (Figure 3), a dramatic decrease compared to  $\text{Cu}(111)$ . The initial hydrogenation step is found to be exothermic (0.23 eV) on  $\text{Co@Cu}$ . As shown in Figure 3, the reduction ( $\text{IS1} \rightarrow \text{TS1} \rightarrow \text{FS1}$ ) takes place via a simultaneous proton transfer from the surface to  $\text{H}_2\text{O}$  and from  $\text{H}_2\text{O}$  to the O atom of  $\text{CO}_2$  (the two protons are labeled as 1 and 2 in the insets of Figure 3). The bond angle of  $\text{CO}_2$  in the TS TS1 ( $134.83^\circ$ ) is close to that in the initial state IS1 ( $138.93^\circ$ ), rendering a low energy barrier. A branched pathway from  $\text{b-CO}_2$  to  $\text{HCOO}^*$  (Figure 2) via the WS model has a higher energy barrier of 0.66 eV because of a strong interaction between the C atom and Co (Figure S4).

**3.4.  $\text{COOH}^* \rightarrow \text{CO}^*$ .** The reduction of  $\text{COOH}^*$  to  $\text{CO}^*$  (and  $\text{H}_2\text{O}$ ) on  $\text{Co@Cu}$  follows closely to that on  $\text{Cu}(111)$ . The activation barrier for this step on  $\text{Co@Cu}$  is 0.54 eV, which is 0.22 eV lower than that on  $\text{Cu}$  and the reaction is again exothermic (0.94 eV). During the proton–electron transfer process ( $\text{IS2} \rightarrow \text{TS2} \rightarrow \text{FS2}$ ), the formation of the O–H bond (H4 and O1 in the insets of Figure 3) is accompanied by the breaking of the C–OH bond, spilling out a water molecule. We find that in contrast to  $\text{Cu}$ ,  $\text{COOH}^*$  cannot be reduced to  $\text{HCOOH}$  on  $\text{Co@Cu}$  (Figure S5). This is due to the fact that C in  $\text{COOH}^*$  interacts more strongly with Co, owing to its narrowed d-band, than with the pure  $\text{Cu}$  surface. Thus, the narrowed d-band in  $\text{Co@Cu}$  contributes to its selectivity toward the final product.

**3.5.  $\text{CO}^* \rightarrow \text{COH}^*$ .**  $\text{CO}^*$  can be reduced to  $\text{CHO}^*$  or  $\text{COH}^*$  as shown in Figure 2. However, the reduction of  $\text{CO}^*$  to  $\text{CHO}^*$  on  $\text{Co@Cu}$  is highly endothermic, with 1.19 eV increase in free energy. In contrast, the free energy increase is 0.75 eV for the reduction of  $\text{CO}^*$  to  $\text{COH}^*$ . Hence,  $\text{COH}^*$  is much more likely to be formed on  $\text{Co@Cu}$ , with an activation barrier of 0.76 eV (Figure 3). Although on the  $\text{Cu}$  surface  $\text{COH}^*$  is also more stable than  $\text{CHO}^*$ , its energy difference is only 0.03 eV.<sup>45,46</sup> Finally, we find that CO bonds very strongly to  $\text{Co@Cu}$  (the desorption energy is calculated as 2.25 eV). Thus CO is not a viable product on  $\text{Co@Cu}$ , in contrast to  $\text{Cu}$ . Because the PBE functional was reported to overestimate CO desorption energy,<sup>64</sup> we also calculated the CO desorption energy by using the revised PBE functional,<sup>65</sup> which yielded a value of 1.92 eV. Because this is still a very large energy, our conclusion remains the same, that is, CO is not a viable product on  $\text{Cu@Cu}$ .

**3.6.  $\text{COH}^* \rightarrow \text{CHOH}^*$ .** As mentioned above, the reaction pathway on  $\text{Co@Cu}$  deviates from that on  $\text{Cu}(111)$  at the reduction step of  $\text{COH}^*$ . On  $\text{Cu}(111)$ , the reactant  $\text{COH}^*$  occupies the hollow site while the product  $\text{CHOH}^*$  is predicted to occupy the top site.<sup>45,46</sup> Thus, the reaction would have required the diffusion of  $\text{COH}^*$  from the hollow site to the top site, yielding a high energy barrier (1.25 eV) on  $\text{Cu}(111)$ . For this reason, it is energetically more favorable for  $\text{COH}^*$  to be reduced into  $\text{C}^*$  (and  $\text{H}_2\text{O}$ ) on  $\text{Cu}(111)$  with a smaller barrier of 1.09 eV. However, in  $\text{Co@Cu}$ , thanks to the stronger bonding at the Co site due to its narrowed d-band, both  $\text{COH}^*$  and  $\text{CHOH}^*$  can be stabilized at the Co top site, which eliminates the need for diffusion and leads to a lower energy barrier (0.82 eV) for the reduction of  $\text{COH}^*$  to  $\text{CHOH}^*$ . As shown in the insets of Figure 4, the reduction of  $\text{COH}^*$  to  $\text{CHOH}^*$  ( $\text{IS4} \rightarrow \text{TS4} \rightarrow \text{FS4}$ ) proceeds via the WS model in which a surface proton H1 is transferred directly to the C atom of  $\text{COH}^*$ , assisted by the water molecule. The reduction of  $\text{COH}^*$  to  $\text{C}^*$  on  $\text{Co@Cu}$ , on the other hand, is highly endothermic ( $\sim 1.10$  eV), thus is unlikely to occur. Hence, we conclude that the deviation in the reaction pathway between  $\text{Cu}$  ( $\text{COH}^* \rightarrow \text{C}^*$ ) and  $\text{Co@Cu}$  ( $\text{COH}^* \rightarrow \text{CHOH}^*$ ) results from the strengthened bonding at Co due to its narrowed d-band, and this deviation eventually leads to different final products,  $\text{CH}_4$  on  $\text{Cu}$  and  $\text{CH}_3\text{OH}$  on  $\text{Co@Cu}$ .

**3.7.  $\text{CHOH}^* \rightarrow \text{CH}_2\text{OH}^*$  and  $\text{CH}_2\text{OH}^* \rightarrow \text{CH}_3\text{OH}$ .** The reduction of  $\text{CHOH}^*$  to  $\text{CH}_2\text{OH}^*$  ( $\text{IS5} \rightarrow \text{TS5} \rightarrow \text{FS5}$ ) and the reduction of  $\text{CH}_2\text{OH}^*$  to  $\text{CH}_3\text{OH}$  ( $\text{IS6} \rightarrow \text{TS6} \rightarrow \text{FS6}$ ) proceed



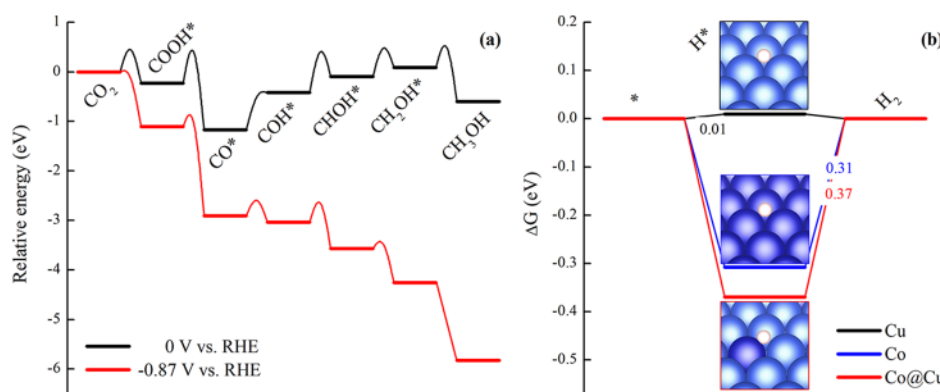


Figure 5. (a) Relative energy diagrams for CH<sub>3</sub>OH production on Co@Cu at 0 and −0.87 V vs RHE. (b) Free energy diagrams for HER on Cu(111), Co(111), and Co@Cu surfaces at 0 V vs RHE. The adsorption geometries are shown in the insets. Blue, dark blue, and white spheres represent Cu, Co, and H atoms, respectively.

via the WS model, leading to the final product of CH<sub>3</sub>OH on Co@Cu (Figure 4). These two steps have relatively low activation barriers (0.54 and 0.42 eV) compared to the hydrogenation of COH\*. The energy barriers for these two steps are also lower than those of the branched pathways, that is, CHOH\* → CH\* + H<sub>2</sub>O (0.98 eV) and CH<sub>2</sub>OH\* → CH<sub>2</sub>\* + H<sub>2</sub>O (0.68 eV), which proceed via the HS model (Figures S6 and S7). Finally, the reduction of CH<sub>3</sub>OH to CH<sub>3</sub>\* (and H<sub>2</sub>O) on Co@Cu is unlikely because of a high barrier of 1.74 eV (Figure S8).

It is reported that an icelike water bilayer structure on Cu(111) could aid the shuttling of protons and lower the energy barrier of O–H bond formation.<sup>45,46</sup> On the other hand, the bilayer structure has a negligible effect on the formation of the C–H bond which proceeds through a direct proton transfer.<sup>45,46</sup> In the preceding discussion, we have already examined the six branched pathways (CO<sub>2</sub> → HCOO\*, COOH\* → HCOOH, CO\* → CHO\*, COH\* → C\*, CHOH\* → CH\*, and CH<sub>2</sub>OH\* → CH<sub>2</sub>\*) in the presence of a water molecule per adsorbate, and they were found less favorable than the pathway of CH<sub>3</sub>OH formation (CO<sub>2</sub> → COOH\* → CO\* → COH\* → CHOH\* → CH<sub>2</sub>OH\* → CH<sub>3</sub>OH). In the following, we re-examine these pathways by including more water molecules with the bilayer structure in the calculations. Because the first three branches proceed via the WS model, the bilayer water structure should have a negligible effect on them, thus these branches are not considered further. The COH\* → C\* branch takes place via the HS model, but it is highly endothermic, and thus it remains unfavorable and is not considered in the following calculations. Therefore, we only need to re-examine the last two branches, that is, CHOH\* → CH\* and CH<sub>2</sub>OH\* → CH<sub>2</sub>\*, which involve the shuttling of protons. More specifically, an icelike water bilayer is introduced on the surface in the computational model (Figures S6 and S7), similar to the previous works.<sup>45,46</sup> The activation barriers for these two pathways are estimated as 1.02 and 0.66 eV, which remain higher than those along the established pathway. Therefore, we conclude that the incorporation of additional solvation in the form of bilayer water molecules does not change the reaction mechanism on Co@Cu.

Figure 5a summarizes the potential-dependent free energy diagrams for CH<sub>3</sub>OH production on Co@Cu. The reduction of COH\* to CHOH\* is identified as the overpotential-determining step. At the potential of −0.87 V versus RHE, all reaction steps are energetically downhill with energy barriers

(≤0.4 eV) that are surmountable at room temperature. This overpotential is 24% less negative than the corresponding value (−1.15 V) on Cu(111) for the formation to CH<sub>4</sub>.<sup>45,46</sup> We have also examined the HER, which is a key side reaction competing with CO<sub>2</sub>RR.<sup>5,6</sup> In Figure 5b, we display the HER free energy diagram on Co@Cu in comparison with that on pure Cu and Co surfaces. We find that the pure Co surface bonds to H\* more strongly than the pure Cu surface, consistent with previous work.<sup>66,67</sup> Interestingly, because of the narrowed d-band, the Co@Cu surface bonds to H\* even more strongly than the pure Co surface, increasing the HER overpotential on Co@Cu. The calculated HER overpotential on Co@Cu is −0.37 V versus RHE, which is 0.06 and 0.36 V more negative than that on the pure Co and Cu surfaces. Thus, the addition of Co helps reduce HER on Cu, albeit only slightly.

#### 4. CONCLUSIONS

In summary, we predict that Cu-based SAAs are capable of electrocatalyzing CO<sub>2</sub> into liquid fuel CH<sub>3</sub>OH based on first-principles calculations. As one of the most promising candidates, Co@Cu, is shown to be selective toward the production of CH<sub>3</sub>OH at a lower overpotential than that on Cu(111) for producing CH<sub>4</sub>. The formation of the free atom-like d-band due to the isolated Co atoms is revealed to play a crucial role in CO<sub>2</sub>RR by modulating the adsorption strengths on the Co@Cu surface. In particular, we show that the narrowed Co d-band is responsible for stabilizing b-CO<sub>2</sub> on the surface, which is essential to the initial hydrogenation steps. More importantly, we find that the narrowed Co d-band gives rise to stronger bonding between COH\* and Co, which in turn eliminates the migration of the intermediate and lowers the activation energy for the hydrogenation of COH\* to CHOH\*. Finally, the isolated Co atoms are expected to reduce C–C coupling, leading to selective and efficient formation of CH<sub>3</sub>OH. Our work offers an encouraging prospect of SAAs for CO<sub>2</sub>RR and hopefully could inspire future experimental effort toward the discovery of superior metal catalysts for CO<sub>2</sub>RR.

#### ■ ASSOCIATED CONTENT

##### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.jpcc.8b12449.

Energy barriers for Co atoms aggregating to form dimer and diffusing into the interior of Cu; free energy difference between different H<sub>2</sub>O and CO<sub>2</sub> occupations on Co@Cu;



relative energy diagrams for the reduction of b-CO<sub>2</sub> to HCOO\*, COOH\* to HCOOH, CHOH\* to CH\* and H<sub>2</sub>O, CH<sub>2</sub>OH\* to CH<sub>2</sub>\* and H<sub>2</sub>O, CH<sub>3</sub>OH to CH\* and H<sub>2</sub>O, b-CO<sub>2</sub> to COOH\*, COOH\* to CO\* and H<sub>2</sub>O, CO\* to COH\*, COH\* to CHOH\*, CHOH\* to CH<sub>2</sub>OH\*, and CH<sub>2</sub>OH\* to CH<sub>3</sub>OH on Co@Cu; parameters for determining potential-dependent barriers for CH<sub>3</sub>OH production; and optimized geometries of the TSs (PDF)

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### Notes

The authors declare no competing financial interest.

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